

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

IN RE SMITH BARNEY TRANSFER
AGENT LITIGATION

CIVIL ACTION
No. 05 Civ. 7583 (WHP)

DECLARATION OF WILLIAM W. WICKERSHAM

I, WILLIAM W. WICKERSHAM, HEREBY DECLARE AND STATE AS FOLLOWS:

1. I am the Vice President, Business Development and Client Relations for RG/2 Claims Administration LLC (“RG/2 Claims” or “RG/2”), whose address is 1540 Broadway, New York, NY 10036. I am over the age of 18, have personal knowledge of the matters set forth herein, and if called upon to do so, could testify competently to them.

2. On August 9, 2013, Lead Plaintiff David Zagunis¹ submitted a motion seeking preliminary approval of the proposed settlement of *In re Smith Barney Transfer Agent Litigation*, 05 Civ 7583 (WHP), a class action currently pending in the United States District Court, Southern District of New York (the “Action”), as set forth and embodied in the Amended Stipulation (“Settlement”). Among the relief sought in connection with the preliminary approval motion is the appointment of RG/2 to supervise and administer the notice and claims process and distribute the settlement proceeds to eligible members of the Class² that has been proposed by

¹ Unless otherwise indicated, the capitalized terms used herein shall have the same meaning as those set forth in the Amended Stipulation of Settlement executed by the parties on August 8, 2013 (“Amended Stipulation”), filed with the Court as Exhibit 1 to the Declaration of Mark Levin in Support of Lead Plaintiff’s Motion for Preliminary Approval of Settlement on August 9, 2013 (“Levine Decl.”).

² The Class is defined as:

All persons and entities, and their successors in interest,
that purchased or redeemed shares of the following Smith

Lead Counsel. I submit this affidavit to provide the Court with information regarding RG/2's qualifications to serve as claims administrator and to describe some of the services to be provided by RG/2 during the administration of notice and claims process, in particular the steps RG/2 will take to ensure the highest level response possible from the nominee/broker outreach program to be undertaken as part of the notice process and provide direct notice to the greatest number of underlying beneficial holders as possible.

Barney mutual funds between September 11, 2000 and June 24, 2004 ('Class Period') pursuant to a prospectus signed by Lewis E. Daidone and who were damaged thereby:

Smith Barney Aggressive Growth Fund, Inc.; Smith Barney Allocation Series, Inc.—Allocation Growth Portfolio; Smith Barney Appreciation Fund, Inc.; Smith Barney Income Fund Series—Smith Barney Convertible Fund; Smith Barney Income Fund Series—Smith Barney Diversified Strategic Income Fund; Smith Barney Income Fund Series—Smith Barney High Income Fund; Smith Barney Income Fund Series Smith Barney Premium Total Return Fund; Smith Barney Fundamental Value Fund, Inc.; Smith Barney World Funds, Inc.—International All Cap Growth Portfolio; Smith Barney Managed Governments Fund, Inc.; Smith Barney Investment Funds, Inc.—Peachtree Growth Fund; Smith Barney Investment Funds, Inc.—Investment Grade Bond Fund; Smith Barney Investment Funds, Inc.—Small Cap Growth Fund; Smith Barney Investment Trust Large Capitalization Growth; Smith Barney Managed Municipals Fund, Inc.; Smith Barney Money Funds, Inc.—Cash Portfolio; and Smith Barney Equity Funds, Inc.—Social Awareness Fund. The Class excludes Daidone and certain persons and entities affiliated with Daidone and the Dismissed Citi Defendants.

3. In connection with the preparation of this declaration and the design of the notice and claims process, my staff and I have been communicating regularly with Lead Counsel. In particular we have had multiple discussions concerning the facts and circumstances surrounding the litigation and settlement of the Action, and in particular the best and most practicable way to provide notice to class members and to allocate and distribute Settlement proceeds given apparent unavailability (or inaccessibility) of Class Period or damages period accountholder and transactional records. I have also reviewed the declarations and supplemental information provided by representatives of Legg Mason, Inc. (“Legg Mason”), Bank of New York Mellon (“BNY Mellon”) and Boston Financial Data Services (“Boston Financial”), the entities Lead Counsel has indicated are most likely to have custody or control of the relevant Class Period account and transactional records.³ The Legg Mason, BNY Mellon and Boston Financial declarations, and select supplemental information provided by Boston Financial, are attached as exhibits to the Supplemental Declaration of Mark Levine in Further Support of Lead Plaintiff’s Motion for Preliminary Approval of Settlement (the “Supplemental Levine Declaration,” or “Supp. Levine Decl.”).

4. This declaration is being submitted in connection with the Supplemental Levine Declaration because at the time of the submission of Lead Plaintiff’s preliminary approval motion, Lead Counsel was pursuing declarations from Legg Mason, BNY Mellon, and Boston Financial concerning the availability (or lack thereof) of the Class Period accountholder and

³ Based upon communications with Lead Counsel and content of the declarations, Legg Mason or a related entity assumed management of the Smith Barney mutual funds, including the seventeen (17) former Smith Barney funds that are involved in the Actions and Settlement (the “Funds”), in 2006; BNY Mellon was retained by Legg Mason to serve as transfer agent to the Legg Mason funds (which included the Funds) in April 2009; and Boston Financial succeeded BNY Mellon as transfer agent to the Legg Mason funds (including the Funds) and currently serves in that capacity.

transactional records that are most likely to be useful in designing a notice, allocation and distribution program. Now that Legg Mason, BNY Mellon and Boston Financial have provided declarations and BNY Mellon and Boston Financial have confirmed that the information previously provided to Plaintiffs about the unavailability of Class Period accountholder and transactional information was accurate, this declaration is being submitted based upon as complete a record as is available.

RG/2 QUALIFICATIONS

5. RG/2 Claims is a full service class action settlement and fund administrator offering notice, claims processing, allocation, distribution, tax reporting, and consulting services. RG/2 Claims' experience includes the provision of notice and administration services for settlements arising from antitrust, consumer fraud, civil rights, employment, regulatory enforcement actions, and securities fraud allegations. Since 2000, RG/2 Claims has administered and distributed in excess of \$750,000,000 in class action settlement and Fair Fund proceeds. Attached hereto as Exhibit 1 is a list of significant securities and shareholder cases in which RG/2 Claims has provided notice and claims administration services.

6. The RG/2 Claims team is a multi-disciplinary group of professionals who are prepared to provide the comprehensive range of services required to meet the notice and administration needs of Lead Counsel in this matter. Our team of attorneys, accountants, and other claims administration professionals are experienced in providing the complete suite of claim administration services for this matter, including but not limited to: identification of class members; class member notification; collection and analysis of investor data and claims;

distribution of settlement funds to approved claimants, and customizable periodic and ad-hoc reporting tailored to the needs of Lead Counsel here.

EXPERTISE IN IDENTIFICATION OF ELIGIBLE CLASS MEMBERS

7. RG/2 Claims utilizes its extensive experience in the identification of eligible class members in its securities and shareholder class action settlement process. RG/2 Claims' past identification experience includes the review, analysis, and combination of class member data from multiple electronic and other intermediary sources to create unified mailing lists of probable class members.

8. RG/2 Claims has extensive experience with the process involved in identifying nominees and other intermediaries that hold shares of the Funds at issue in the Action. Although, according to the recent supplemental information provided by Boston Financial it was unclear what portion of the purged Class Period Fund accounts were held and controlled directly by Class Members versus held and controlled by intermediaries (such as broker-dealers, investment advisors or custodians), it is now confirmed that Boston Financial never had or maintained access to records sufficient to identify the names and addresses of the Class Member beneficiaries whose accounts were controlled by intermediaries.⁴ Based upon RG/2's professional experience, this is typical of shareholder class action litigation, whether involving common stock or mutual funds. In fact, even if the Class Period records had not been purged

⁴ See Email from Adam Sisitsky of Mintz Levin (Boston Financial's outside counsel) to Mark Levine dated August 8, 2013 (Question 5c: "How many accounts...were 'direct' and how many were 'brokerage' or 'omnibus' accounts maintained by brokers? Ans.: "These percentages vary day to day;" 5d: "With regard to 'brokerage' or 'omnibus' accounts, do you maintain information sufficient to identify the names and addresses of each sub-account holder? Ans.: No."), attached as Exhibit 1 to the Supp. Levine. Decl.

and were accessible, it is likely, based upon RG/2's professional experience, that the vast majority of the Fund shares at issue in this Action would have turned out to have been purchased, sold and held during the Class Period through an investment advisor, a broker-dealer or other intermediary. This is again typical in litigation involving both mutual funds and the more prevalent securities litigation involving common stock.

9. As such, whether or not Class Period Fund account records are available (and I understand that they are not), RG/2 will, as is typical in shareholder litigation, need to rely heavily on its broker/nominee outreach program in providing the best notice practicable to the Class by seeking to identify the intermediaries through its own proprietary list of nominees. More specifically, RG/2 is prepared to issue a mailed notice to over 1,000 nominees at Depository Trust & Clearing Corporation's ("DTCC") participant firms. DTCC, through its subsidiary Depository Trust Company ("DTC"), makes "book-entry" changes to ownership of the securities and retains custody of more than 3.5 million securities issues worth almost \$34 trillion, including securities issued in the US and more than 120 foreign countries and territories. A listing of DTC Participant Firms (members) as of July 31, 2013 is attached hereto as Exhibit 2. In addition, RG/2 Claims will disseminate a copy of the notice packet to the over 1,300 preferred brokers, banks, institutional investors, law firms and claim filers that are identified in a list that RG/2 compiled targeting the most likely sources of beneficial holders, requesting that those entities search their records for contact information for the holders of the securities at issue in this matter.

10. As appropriate, RG/2 will issue a mailed notice to key contacts within DTCC's member firms and publish a copy of the Summary Notice in USA Today and through the DTC's Legal Notification Services ("LENS"). The LENS system offers those member banks and

brokers access to a library of notices published concerning DTC-eligible securities by third party, public or private agents and agencies, courts and security issuers

11. As settlement administrator, RG/2 Claims will maintain records of responses or certifications of the intermediaries, including where no response or certification was provided and follow up with intermediaries as necessary to encourage compliance.

12. While brokers and nominees are not required under SEC and FINRA rules to maintain customer records longer than six years, RG/2 Claims has had success in obtaining records from bank and broker nominees in previous cases involving class actions that involve securities transaction from more than six years ago. I have no reason to believe that we would be less successful in this case.

13. After receipt of client information from any other potential custodial holders, RG/2 Claims combines this information with its unified mailing list of individuals who purchased the subject securities during the class period, and promptly issues notice via first class mail to all newly added individuals. Where banks, brokers, and institutions do not timely respond to our requests, we will aggressively follow-up and contact them to maximize the number of possible Class Members who receive the Mailing Notice and Claim Form.

14. Although in all securities class action settlements, RG/2 Claims conducts a comprehensive outreach campaign to contact intermediaries and request the names and addresses of the actual beneficial holder, intermediary outreach here is of particular importance. Although the percentage of direct holders listed on transfer agent records is often small anyway, RG/2 is cognizant that given the complete absence of transfer agent records with direct accountholder

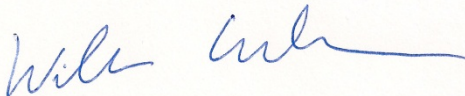
names and addresses, outreach is even more critical to carrying out the best and most practicable notice process and will be performed in this case with the utmost diligence.

15. Additionally, RG/2 will work with Lead Counsel to facilitate the reimbursement for reasonable out of pocket costs incurred by intermediaries in identifying class members and providing them with notice for custodial holders who provide proper and complete documentation

16. In addition to mailing notice, RG/2 Claims will create a dedicated settlement website for class members which contains: downloadable portable document format (.pdf) versions of selected case documents, updates on the status of the settlement administration, a form for submitting Questions, a portal for filing claims electronically, and detailed instructions for those institutional filers who elect to file claims electronically for their clients.

I DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES THAT TO THE BEST OF MY KNOWLEDGE THE FOREGOING IS TRUE AND CORRECT.

Executed on August 30, 2013 in New York, New York.



William W. Wickersham

EXHIBIT 1



**Representative Listing of Securities Cases Administered by RG/2 Claims
Including Gross Settlement Amount**

Case Name	Gross Settlement Amount
<i>In Re: Veritas Software Corp. Securities Litigation</i>	\$35,000,000.00
<i>In Re: SEC v. Veritas Software Corporation</i>	\$30,888,696.27
<i>In Re: NextCard, Inc. Securities Litigation</i>	\$23,200,000.00
<i>In Re: CommTouch Software Ltd. Securities Litigation</i>	\$15,000,000.00
<i>In Re: Terayon Comm. Systems, Inc. Securities Litigation</i>	\$15,000,000.00
<i>In Re: TutSystems, Inc. Securities Litigation</i>	\$10,000,000.00
<i>In Re: Quovadx, Inc. Securities Litigation</i>	\$9,000,000.00
<i>In Re: Endocare, Inc. Securities Litigation</i>	\$8,950,000.00
<i>In Re: Majesco Securities Litigation</i>	\$8,099,000.00
<i>In Re: Intercept, Inc. Securities Litigation</i>	\$5,300,000.00
<i>In Re: Lin Lan v. Ludrof et. al.</i>	\$5,234,277.17
<i>In Re: U.S. Aggregates, Inc. Securities Litigation</i>	\$3,500,000.00
<i>In Re: Intershop Communications AG Securities Litigation</i>	\$3,151,392.56
<i>In Re: M & A West, Inc. Securities Litigation</i>	\$2,615,000.00
<i>In Re: Kohler v. Sierra Pacific Industries</i>	\$2,475,000.00
<i>In Re: King Power Securities Litigation</i>	\$1,700,000.00
<i>In Re: SEC v. Lent, et al.</i>	\$1,250,000.00

EXHIBIT 2

DTC Participant Report (Alphabetical Sort)	
Week Ending - 7/31/13	
Participant Account Name	Number
ABN AMRO CLEARING CHICAGO LLC	0695
ABN AMRO CLEARING CHICAGO LLC/CPM	0330
ABN AMRO CLEARING CHICAGO LLC/PORTFOLIO MARGINING	0396
ABN AMRO CLEARING CHICAGO LLC/INSTITUTIONAL	0541
ABN AMRO CLEARING CHICAGO/BONDS	0575
ABN AMRO SECURITIES (USA) LLC	0349
ABN AMRO SECURITIES (USA) LLC/A/C#2	7571
ABN AMRO SECURITIES (USA) LLC/REPO	7590
ABN AMRO SECURITIES (USA) LLC/ABN AMRO BANK NV REPO	7591
ALASKA USA FEDERAL CREDIT UNION	2223
ALBERT FRIED & COMPANY, LLC	0284
ALBERT FRIED & COMPANY LLC/STOCK LOAN	7578
ALPINE SECURITIES CORPORATION	8072
AMALGAMATED BANK	2352
AMALGAMATED BANK OF CHICAGO	2567
AMALGAMATED BANK OF CHICAGO/IPA	1574
AMERICAN ENTERPRISE INVESTMENT SERVICES INC.	0756
AMERICAN ENTERPRISE INVESTMENT SERVICES INC./CONDUIT	7260
AMERIPRISE TRUST COMPANY	2146
ANB BANK	2082
APEX CLEARING CORPORATION	0158
ARCHIPELAGO SECURITIES, L.L.C.	0436
ASSOCIATED BANK, N.A.	2257
BANCA IMI SECURITIES CORP.	0136
BANCO BILBAO VIZCAYA ARGENTARIA SA NEW YORK BRANCH	2461
BANK OF AMERICA, NATIONAL ASSOCIATION	2236
BANK OF AMERICA, NA/GWIM TRUST OPERATIONS	0955
BANK OF AMERICA/LASALLE BANK NA/IPA, DTC #1581	1581
BANK OF AMERICA NA/CLIENT ASSETS	2251
BANK OF CHINA, NEW YORK BRANCH	2555
BANK OF CHINA NEW YORK BRANCH/CLIENT CUSTODY	2656
BANKERS' BANK	2557
BARCLAYS CAPITAL INC.	5101
BARCLAYS CAPITAL INC./LE	0229
BARCLAYS CAPITAL INC./LONG ISLAND HOLDING A LLC	7299
BARCLAYS CAPITAL INC./LONG ISLAND INTERNATIONAL LIMITED	7569
BB&T SECURITIES, LLC	0702
BBVA SECURITIES INC.	2786
BGC FINANCIAL, L.P.	0537
BGC FINANCIAL L.P./BGC BROKERS L.P.	5271
BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.	2962
BLOOMBERG TRADEBOOK LLC	7001
BMO CAPITAL MARKETS CORP.	0045
BMO CAPITAL MARKETS CORP./PALOMA	5221
BMOCM/BONDS	5257
BMO CAPITAL MARKETS GKST INC.	2344
BMO HARRIS BANK NA	2697
BMO HARRIS BANK NA/TRUST	0992
BMO HARRIS BANK NA/M&I BANK IPA	1530
BMO HARRIS BANK NA/IPA	1582
BMO HARRIS BANK NA/DEALER	2559
BNP PARIBAS PRIME BROKERAGE, INC.	2154
BNP PARIBAS PRIME BROKERAGE, INC/STOCK LENDING	2885
BNP PARIBAS SECURITIES CORP.	0049
BNP PARIBAS SECURITIES CORP./FIXED INCOME	0630
BNP PARIBAS SECURITIES CORP./DOMESTIC LENDING	7255
BNP PARIBAS SECURITIES CORP./OTC SETTLEMENTS	7267
BNP PARIBAS, NEW YORK BRANCH	1569
BNP PARIBAS, NEW YORK BRANCH/IPA	1601
BNP PARIBAS, NEW YORK BRANCH/BNP PARIBAS PRIME BROKERAGE CUSTODIAN	2147
BNP PARIBAS, NEW YORK BRANCH/BNP PARIBAS LONDON/COLLATERAL	2322
BNP PARIBAS, NEW YORK BRANCH/CUSTODY SERVICES	2787
BNP PARIBAS, NEW YORK BRANCH/BNP PARIBAS PRIME BROKERAGE INTERNATIONAL	2884
BNP PARIBAS NY BRANCH LONDON BONDS	5153
BNP PARIBAS NY BRANCH/PARIS BONDS	7382

DTC Participant Report (Alphabetical Sort)		
Week Ending - 7/31/13		
Participant Account Name		Number
BNP PARIBAS NY BRANCH/BNP PARIBAS UK LIMITED		8180
BRANCH BANKING AND TRUST COMPANY		5385
BRANCH BANKING AND TRUST COMPAY/FM/IPA		1518
BRANCH BANKING & TRUST CO/FM IP BB&T CORP.		2703
BRANCH BANKING & TRUST CO/FM IP BB&T		2705
BRANCH BANKING & TRUST CO/FM IP SALEM FINANCIAL		2707
BRANCH BANKING AND TRUST COMPANY/FM IP CBG REMIC LLC		2867
BRANCH BANKING AND TRUST COMPANY/FM/IP CBG INC.		2871
BROWN BROTHERS HARRIMAN & CO.		0010
BROWN BROTHERS HARRIMAN & CO./ETF		0109
SECURITIES LENDING SPO ACCOUNT/BBH		5288
C.L. KING & ASSOCIATES, INC.		0743
CAJA DE VALORES S.A.		5610
CALDWELL TRUST COMPANY		2687
CANTOR FITZGERALD & CO.		0696
CANTOR FITZGERALD & CO. / CANTOR CLEARING SERVICES		0197
CANTOR FITZGERALD/STOCK LOAN		5253
CANTOR FITZGERALD & CO./AQUA SECURITIES, LP		7310
CANTOR FITZGERALD & CO./DEBT CAPITAL MARKETS		7311
CAVALI ICLV S.A.		2011
CDS CLEARING AND DEPOSITORY SERVICES INC.		4800
ROYAL BANK OF CANADA-ROYAL TRUST/CDS**		4707
BMO NESBITT BURNS INC./BMO TRUST COMPANY/CDS**		4712
THE BANK OF NOVA SCOTIA/PRINCIPAL EQUITIES/CDS**		4794
THE BANK OF NOVA SCOTIA/SUB FIXED INCOME/IMPACT/CDS**		4795
BMO NESBITT BURNS/INSTITUTIONAL/CDS**		4797
RBC DOMINION SECURITIES INC./CDS**		4801
TORONTO-DOMINION BANK (THE)**		4805
DEUTSCHE BANK SECURITIES LIMITED/ #1/CDS**		4806
J.P. MORGAN SECURITIES CANADA INC. **		4808
THE BANK OF NOVA SCOTIA/CDS**		4812
THE BANK OF NOVA SCOTIA/ SCE LTD./CDS**		4814
THE BANK OF NOVA SCOTIA/CLIENT A		4816
BANK OF MONTREAL/ CHICAGO/CDS**		4817
FIDUCIE DESJARDINS INC.**		4818
BANK OF MONTREAL/ IRELAND/CDS**		4819
BANK OF MONTREAL/ LONDON/CDS**		4822
THE BANK OF NOVA SCOTIA/CLIENT B/CDS**		4838
BANK OF MONTREAL**		4855
LAURENTIAN BANK OF CANADA/CDS**		5001
RBC DOMINION SECURITIES INC./CDS**		5002
NEWEDGE CANADA INC./CDS**		5003
NBCN INC./CDS**		5008
QTRADE SECURITIES INC./CDS**		5009
SCOTIA CAPITAL INC./CDS**		5011
EDWARD JONES/CDS**		5012
CALDWELL SECURITIES LTD./CDS**		5013
PETERS & CO. LIMITED/CDS**		5014
GMP SECURITIES L.P./CDS**		5016
UBS SECURITIES CANADA INC./CDS**		5017
CREDIT SUISSE SECURITIES CANADA INC./CDS**		5019
MACDOUGALL, MACDOUGALL & MACTIER INC./CDS**		5022
MACQUARIE PRIVATE WEALTH INC./CDS**		5025
PICTET CANADA L.P./CDS**		5027
DESJARDINS SECURITIES INC./CDS**		5028
MACKIE RESEARCH CAPITAL CORPORATION/CDS**		5029
CIBC WORLD MARKETS INC./CDS**		5030
TD WATERHOUSE CANADA INC./CDS**		5036
DWM SECURITIES INC./CDS**		5039
FIDELITY CLEARING CANADA ULC/CDS**		5040
BMO NESBITT BURNS INC./CDS**		5043
ROYAL BANK OF CANADA-ROYAL TRUST 1/CDS**		5044
CANACCORD GENUITY CORP./CDS**		5046
MANULIFE SECURITIES INCORPORATED/CDS**		5047
CORMARK SECURITIES INC./CDS**		5055
HAYWOOD SECURITIES INC./CDS**		5058
PENSON FINANCIAL SERVICES CANADA INC./CDS**		5063
E3M INVESTMENTS INC./CDS**		5066
GLOBAL SECURITIES CORPORATION/CDS**		5069
JONES GABLE & COMPANY LIMITED/CDS**		5070
LEEDE FINANCIAL MARKETS INC./CDS**		5071
MAPLE SECURITIES CANADA LIMITED/CDS**		5072
ODLUM BROWN LIMITED/CDS**		5074
PI FINANCIAL CORP./CDS**		5075
RAYMOND JAMES LTD./CDS**		5076
W.D. LATIMER CO LTD./CDS**		5078
WOLVERTON SECURITIES LTD./CDS**		5079
CREDENTIAL SECURITIES INC./CDS**		5083
QUESTRADE INC./CDS**		5084
BBS SECURITIES INC./CDS**		5085
CDS CLEARING AND DEPOSITORY SERVICES INC.**		5099
CENTRAL TRUST BANK (THE)		2880

DTC Participant Report (Alphabetical Sort)	
Week Ending - 7/31/13	
Participant Account Name	Number
CHARLES SCHWAB & CO., INC.	0164
CHARLES SCHWAB & CO., INC. STOCK LOAN CONDUIT ACCOUNT	7322
CHARLES SCHWAB & CO., INC./SCHWAB GLOBAL INVESTING ACCOUNT	7587
CHARLES SCHWAB BANK	2993
CHICAGO BOARD OPTIONS EXCHANGE, INC.	0739
CIBC WORLD MARKETS CORP.	0438
CITADEL SECURITIES LLC	0395
CITIBANK, N.A.	0908
CITIBANK, N.A. - DEALER	0950
ADR-CITI	0953
CITIBANK/CP/IPA	1501
CITIBANK/THE CITIGROUP PRIVATE BANK/TRUST	2032
CITIBANK, N.A./ETF	2333
CITIBANK, N.A./CORPORATE AGENCY & TRUST	2426
CITIBANK, N.A. - MUNICIPAL SAFEKEEPING	2562
CITIBANK, N.A./SEGREGATED LENDING	2658
CITIBANK, N.A. BOOK-ENTRY-ONLY MEDIUM TERM NOTE ACCOUNT	2790
CITIBANK N.A. LONDON/MTN	2952
CITIBANK N.A. LONDON/PROPRIETARY ASSETS	
CITICORP SECURITIES SERVICES, INC.	0563
CITIGROUP GLOBAL MARKETS INC.	0418
CITIGROUP GLOBAL MARKETS INC./SALOMON BROTHERS	0274
CITIGROUP GLOBAL MARKETS, INC./CORRESPONDENT CLEARING	0505
CITIGROUP GLOBAL MARKETS INC./SALOMON BROTHERS/A.M.M.	5215
CITY NATIONAL BANK	2392
CLEARSTREAM BANKING AG	2000
COMERICA BANK	2108
COMMERCE BANK	2170
COMMERZ MARKETS LLC	0126
COMMERZ MARKETS LLC/FIXED INC. REPO & COMM. PAPER	0033
COMMERZ MARKETS LLC/INTERNATIONAL EQUITY FINANCE	7376
COMPASS BANK	2483
COMPASS BANK/IPA	1563
COMPASS BANK/TRUST DIVISION	2484
COMPUTERSHARE TRUST COMPANY, N.A.	2415
COMPUTERSHARE TRUST COMPANY, N.A./OPTIONS	2330
COMPUTERSHARE TRUST COMPANY, N.A./DRP	2586
CONVERGEX EXECUTION SOLUTIONS LLC	0100
COR CLEARING LLC	0052
COR CLEARING LLC/STOCK LOAN	7576
COSSE' INTERNATIONAL SECURITIES, INC.	8153
COUNTRY TRUST BANK	2561
COWEN EQUITY FINANCE LP	0580
COWEN EQUITY FINANCE LP/S-L	7303
CREDIT AGRICOLE SECURITIES (USA) INC	0651
CREDIT AGRICOLE SECURITIES (USA) INC. INTERNATIONAL	5281
CREDIT AGRICOLE SECURITIES (USA) INC/F/B/O CREDIT AGRICOLE NY BRANCH	7372
CREDIT AGRICOLE SECURITIES (USA) INC/STOCK LOAN CONDUIT	7540
CREDIT SUISSE AG - NEW YORK BRANCH	1587
CREDIT SUISSE AG NYB - SECURITIES LENDING MGMT	2279
CREDIT SUISSE SECURITIES (USA) LLC	0355
CREST INTERNATIONAL NOMINEES LIMITED	2012
CREWS & ASSOCIATES, INC.	5158
CROWELL, WEEDON & CO.	0574
CROWELL, WEEDON & CO./STOCK LOAN	7592
CRT CAPITAL GROUP LLC	0387
CSS, LLC	0670
CURIAN CLEARING, LLC	0300
CURTISWOOD CAPITAL, LLC	7484
D. A. DAVIDSON & CO.	0361

DTC Participant Report (Alphabetical Sort)	
Week Ending - 7/31/13	
Participant Account Name	Number
DAIWA CAPITAL MARKETS AMERICA INC.	0647
DAIWA CAPITAL MARKETS AMERICA INC./SECURITIES LENDING	2800
DAIWA CAPITAL MARKETS AMERICA INC./DASAC	7561
DAVENPORT & COMPANY LLC	0715
DAVID LERNER ASSOCIATES, INC.	5144
DEPOSITO CENTRAL DE VALORES S.A., DEPOSITO DE VALORES	2735
DESERET TRUST COMPANY	0958
DESERET TRUST COMPANY - D	2118
DESERET TRUST COMPANY - I	2497
DEUTSCHE BANK AG, NEW YORK BRANCH	2481
DEUTSCHE BANK AG NY/TCR	2024
DEUTSCHE BANK AG NY/CEDEAR	2690
DEUTSCHE BANK AG, NEW YORK BRANCH/GES FFT	2879
DEUTSCHE BANK SECURITIES INC.	0573
DEUTSCHE BANK SECURITIES INC. - STOCK LOAN	0032
DEUTSCHE BANK SECURITIES INC.-INTERNATIONAL STOCK LOAN	5162
DEUTSCHE BANK SECURITIES INC.-FIXED INCOME STOCK LOAN	5225
DEUTSCHE BANK TRUST COMPANY AMERICAS	1503
DBTC AMERICAS/CTAG/PUTS & DEMANDS	2041
DBTC AMERICAS/CTAG-GES	2655
DBTC AMERICAS/CTAG-CDFP	2808
DIAMANT INVESTMENT CORPORATION	0344
E*TRADE BANK	2782
E*TRADE CLEARING LLC	0385
E*TRADE CLEARING LLC - SECURITIES LENDING	5207
EDWARD D. JONES & CO.	0057
ELECTRONIC BROKERAGE SYSTEMS, LLC	0627
ELECTRONIC TRANSACTION CLEARING, INC.	0873
EMMET & CO.,INC.	5234
ESPIRITO SANTO BANK	2253
ESSEX RADEZ LLC	0613
EUROPEN CENTRAL COUNTERPARTY LIMITED	3064
EVERBANK	2576
FANNIE MAE	2218
FANNIE MAE/GENERAL	2293
FANNIE MAE/INVESTMENT	2296
FANNIE MAE/TRUST	2306
FEDERAL HOME LOAN MORTGAGE CORPORATION	2391
FEDERAL HOME LOAN MORTGAGE CORPORATION/RETAINED	2068
FIDUCIARY TRUST COMPANY OF BOSTON	2126
FIFTH THIRD BANK	2116
FIFTH THIRD BANK/STATE TEACHERS RETIREMENT OF OHIO	2416
FIFTH THIRD BANK/PUBLIC EMPLOYEES RETIREMENT SYSTEM	2975
FIRST BANK	2400
FIRST CLEARING, LLC	0141
FIRST CLEARING, LLC/SECURITIES LENDING MATCH BOOK	5237
FIRST SOUTHWEST COMPANY	0309
FIRST TENNESSEE BANK N.A. MEMPHIS	2445
FIRST TRUST PORTFOLIOS, L.P.	8244
FMSBONDS, INC.	5217
FOLIOFN INVESTMENTS, INC.	0728
FRONTIER TRUST COMPANY	2563
FROST BANK	2053
FTN FINANCIAL SECURITIES CORP.	0202
GEORGE K. BAUM & COMPANY	0129
GETCO EXECUTION SERVICES LLC	0530

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GLEACHER & COMPANY SECURITIES, INC.		5149
GLENMEDE TRUST COMPANY, N.A. (THE)		2139
GOLDMAN SACHS BANK USA		2941
GOLDMAN SACHS BANK USA/GOLDMAN SACHS AGENCY LENDING		2660
GOLDMAN SACHS EXECUTION & CLEARING, L.P.		0501
GOLDMAN, SACHS & CO.		0005
GOLDMAN SACHS INTERNATIONAL		5208
GUGGENHEIM FUNDS DISTRIBUTORS, INC.		0526
GUGGENHEIM SECURITIES, LLC		0181
HOLD BROTHERS CAPITAL LLC		0430
HOME FEDERAL BANK OF TENNESSEE, F.S.B.		2425
HOME FEDERAL BANK/HOME FINANCIAL SERVICES, INC.		2447
HOME FEDERAL BANK/HF PORTFOLIO		2533
HOME FEDERAL BANK OF TENNESSEE, FSB/TRUST DEPARTMENT CUSTOMERS		2534
HONG KONG SECURITIES CLEARING COMPANY LIMITED		2338
HRT FINANCIAL LLC		0369
HSBC BANK USA, NATIONAL ASSOCIATION		2165
HSBC BANK USA, NATIONAL ASSOCIATION/IPA		1544
HSBC BANK USA, N.A.-IPB		2122
HSBC BANK USA, NA/HSBC NASSAU		2202
HSBC BANK USA, NATIONAL ASSOCIATION/OMNIBUS		2393
HSBC BANK USA, N.A./CORPORATE TRUST IPA		2894
HSBC SECURITIES (USA) INC.		0816
HSBC SECURITIES (USA) INC. (FIXED INCOME)		0486
HSBC TRUST COMPANY (DELAWARE), NATIONAL ASSOCIATION		2192
HUTCHINSON, SHOCKEY, ERLEY & CO.		6963
ICAP CORPORATES LLC		0148
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES LLC		0388
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES, LLC/EQUITY CLEARANCE		0824
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES LLC/ CLEARING		2667
INDUSTRIAL AND COMMERCIAL BANK OF CHINA FINANCIAL SERVICES LLC/ SECURITIES LENDING		7583
ING FINANCIAL MARKETS LLC		0270
ING FINANCIAL MARKETS LLC/INTERNATIONAL		5104
ING FINANCIAL MARKETS LLC/INTERNATIONAL EQUITY FINANCE		5268
ING FINANCIAL MARKETS LLC/INTERNATIONAL EQUITY FINANCE MATCH BOOK ACCOUNT		7273
ING FINANCIAL MARKETS LLC/GLOBAL SECURITIES FINANCE NON-PURPOSE		7595
ING FINANCIAL MARKETS LLC/ING AFFILIATES		7567
INGALLS & SNYDER, LLC		0124
INSTINET, LLC		0067
INSTINET, LLC/STOCK LOAN		7276
INTERACTIVE BROKERS LLC		0017
INTERACTIVE BROKERS RETAIL EQUITY CLEARING		0534
INVESCO CAPITAL MARKETS, INC.		0692
ITAU UNIBANCO S.A. NEW YORK BRANCH		7581
ITAU UNIBANCO S.A. NEW YORK BRANCH/ITAU BBA USA SECURITIES, INC.		8113
ITG INC.		0099
ITG INC/SECURITIES LENDING DIVISION		7539
J.J.B. HILLIARD, W.L. LYONS, LLC		0768
J.P. MORGAN CLEARING CORP.		0352
J.P. MORGAN SECURITIES LLC		0187
JAMES I. BLACK & COMPANY		7031
JANNEY MONTGOMERY SCOTT LLC		0374
JANNEY MONTGOMERY SCOTT LLC/STOCK LOAN		7320
JAPAN SECURITIES DEPOSITORY CENTER, INC.		5600
JEFFERIES LLC		0019
JEFFERIES LLC/JEFFERIES EXECUTION SERVICES, INC./SERVICE BUREAU		0536
JEFFERIES LLC/AS AGENT FOR JEFFERIES INTERNATIONAL LONDON		7441
JEFFERIES LLC/SECURITIES FINANCE		7565
JOHN A. SIBERELL & CO.		7014

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JPMORGAN CHASE BANK, NATIONAL ASSOCIATION	0902
JPMORGAN CHASE BANK - ADR	0923
JPMORGAN CHASE BANK/CHEMICAL/COMMERCIAL PAPER/IPA	1506
JPMORGAN CHASE BANK/J.P.MORGAN CHASE & CO./CERTIFICATE OF DEPOSIT/IPA	1573
J.P. MORGAN CHASE/GARBAN CORPORATES	2019
JP MORGAN CHASE/JP MORGAN INTERNATIONAL	2035
JPMORGAN CHASE/RBS	2038
JPMORGAN CHASE BANK/SUSQUEHANNA	2060
JPMORGAN CHASE BANK/G.X.CLARKE & CO.	2084
JPMORGAN CHASE BANK/SUNRISE PARTNERS LIMITED PARTNERSHIP	2162
JPMORGAN CHASE BANK/CORRESPONDENCE CLEARING SERVICES 2	2164
JPMORGAN CHASE BANK/RBS SECURITIES INC.	2230
JPMORGAN CHASE BANK/PCS SHARED SERVICES	2255
JPMORGAN CHASE BANK NA/DBTC AMERICAS/DEUTSCHE BANK AG (LONDON BRANCH)	2312
JPMORGAN CHASE BANK NA/DBTC AMERICAS/DB UK BANK LIMITED	2314
JPMORGAN CHASE-ADR MAX	2334
JPMORGAN CHASE BANK N.A./JPMORGAN EUROPE LIMITED	2354
JPMORGAN CHASE BANK/IA	2357
JPMORGAN CHASE BANK/JPMORGAN PPB	2379
J.P. MORGAN CHASE BANK/GG1	2387
JPMORGAN CHASE BANK, N.A./CUSTODIAL TRUST COMPANY	2424
JPMORGAN CHASE BANK/VANGUARD LOANET	2433
JPMORGAN CHASE BANK/GNPH MIDDLE MARKET	2434
JPMORGAN CHASE BANK/HSBCSI	2467
JPMORGAN CHASE BANK/CORPORATE MUNICIPAL DEALER	2508
JPMORGAN CHASE BANK/PRUDENTIAL	2517
JPMCB/HSBC BANK PLC IB MAIN FL ACCOUNT	2554
JPMORGAN CHASE BANK/TREASURER OF STATE OF OHIO BWC	2609
JPMORGAN CHASE/US EQ TRP	2612
JPMORGAN CHASE/NEWEDGE CUSTODY	2636
JPMORGAN CHASE/FIMAT PF	2638
JPMORGAN CHASE BANK N.A./JPMORGAN CHASE FUNDING INC.	2668
JPMORGAN CHASE BANK/MUNICIPAL DEALER	2773
JPMORGAN CHASE BANK NA/GME DIV OF ICAP CORPORATE LLC	2783
JPMORGAN CHASE BANK/BROKER & DEALER CLEARANCE DEPARTMENT	2811
JPMORGAN CHASE BANK/TRUST CO. OF CALIFORNIA	2849
JPMORGAN CHASE BANK/AG DEPOSITARY BANK	2865
JPMORGAN CHASE BANK/KORE FIXED INCOME FUND LTD	2942
JPMORGAN CHASE BANK/GARBAN SECURITIES, INC.	2943
JPMORGAN CHASE-FIMAT RM	2944
JPMORGAN CHASE-FIMAT CU	2945
JPMORGAN CHASE-FIMAT MB	2946
JPMORGAN CHASE BANK/MET LIFE LOANET	2973
JPMORGAN CHASE BANK/OHIO POLICE AND FIRE PENSION FUND	8112
JPMORGAN CHASE BANK/WINTERFLOOD SECURITIES LIMITED	8120
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION/RBS INVESTMENTS USA	8157
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION/RBS FINANCIAL PRODUCTS	8158
JPMORGAN CHASE BANK, NATIONAL ASSOCIATION/RBS PLC	8159
KEYBANC CAPITAL MARKETS INC.	0799
KEYBANK NATIONAL ASSOCIATION	2205
KEYBANK SAFEKEEPING	0557
KEYBANK NA/FBO TREASURER OF STATE OF OHIO	2769
KEYBANK NA/CAPITAL MARKETS	2804
KNIGHT CAPITAL AMERICAS LLC	0295
KOONCE SECURITIES, INC.	0712
LAKESIDE BANK	2545
LAVAFLOW, INC.	0641
LAW DEBENTURE TRUST COMPANY OF NEW YORK	2216
LAZARD CAPITAL MARKETS LLC	0308
LAZARD CAPITAL MARKETS LLC/CONDUIT STOCK LOAN	7420
LEK SECURITIES CORPORATION	0512
LOMBARD ODIER TRANSATLANTIC, LIMITED PARTNERSHIP	0408
LPL FINANCIAL CORPORATION	0075
MACALLASTER PITFIELD MACKAY INC.	0664
MACQUARIE CAPITAL (USA) INC.	0114
MACQUARIE CAPITAL (USA) INC/MATCHED BOOK	7579
MANUFACTURERS AND TRADERS TRUST COMPANY	0990
MANUFACTURERS AND TRADERS TRUST CO/WILMINGTON TRUST/IPA	1507
MANUFACTURERS AND TRADERS TRUST COMPANY/IPA	1545
MANUFACTURERS & TRADERS TRUST COMPANY MUNI TRADING/SAFEKEEPING	2382
MAPLE SECURITIES U.S.A. INC.	0269
MAPLE SECURITIES USA INC./CUSTODY	0434
MAPLE SECURITIES - UK	0514
MAPLE SECURITIES - FP	0516
MAPLE SECURITIES USA INC./CUSTODY II	0518
MAPLE SECURITIES U.S.A. INC. - DOMESTIC	5239

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MAPLE SECURITIES U.S.A. INC. - FOREIGN	5289
MARSCO INVESTMENT CORPORATION	0287
MELLON BANK, N.A.	0946
MELLON BANK/MELLON FINANCIAL MARKETS, INC.	2523
MERCADO DE VALORES DE BUENOS AIRES S.A.	2788
MERCHANT CAPITAL, L.L.C.	6733
MERRILL LYNCH PROFESSIONAL CLEARING CORP.	0551
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	0161
MERRILL LYNCH PIERCE FENNER & SMITH/FIXED INCOME	0773
MERRILL LYNCH, PIERCE FENNER & SMITH INC. - SECURITIES LENDING	5143
MERRILL LYNCH, PIERCE, FENNER & SMITH, INC. - FOREIGN SECURITY LENDING	5176
MERRILL LYNCH PIERCE FENNER & SMITH INC.-MLIM GLOBAL SECURITIES FINANCING INTERNATIONAL	7305
MERRILL LYNCH, PIERCE, FENNER & SMITH/STOCK LOAN HEDGE ACCOUNT	7560
MERRIMACK VALLEY INVESTMENT, INC.	0472
MESIROW FINANCIAL, INC.	0727
MG TRUST COMPANY, LLC	5954
MITSUBISHI UFJ SECURITIES (USA), INC.	0076
MITSUBISHI UFJ SECURITIES (USA), INC./STOCK LOAN	2075
MITSUBISHI UFJ TRUST & BANKING CORPORATION (U.S.A.)	2932
MITSUBISHI UFJ TRUST & BANKING CORPORATION (U.S.A.)/AFFILIATE	2037
MITSUBISHI UFJ TRUST & BANKING CORPORATION (U.S.A.)/STOCK LOAN	2570
MIZUHO CORPORATE BANK, LTD. NEW YORK BRANCH	2539
MIZUHO CORPORATE BANK LTD. NEW YORK BRANCH/IPA	1577
MIZUHO SECURITIES USA INC.	0892
MIZUHO SECURITIES/SECURITIES FINANCE	2161
MIZUHO SECURITIES USA/FIXED INCOME	2396
MIZUHO TRUST & BANKING CO. (USA)	2888
MIZUHO TRUST & BANKING CO (USA)/SECURITY LENDING	2492
MONTE TITOLI - S.P.A.	2008
MORGAN STANLEY & CO. LLC	0050
MORGAN STANLEY & CO. LLC/SL CONDUIT	0101
MORGAN STANLEY & CO. LLC/II	5127
MORGAN STANLEY & CO. LLC/III	5224
MORGAN STANLEY & CO. LLC/INTERNATIONAL PLC	7309
MORGAN STANLEY BANK, N.A.	2187
MORGAN STANLEY PRIVATE BANK, NATIONAL ASSOCIATION	2267
MORGAN STANLEY PRIVATE BANK, NATIONAL ASSOCIATION/#2	2522
MORGAN STANLEY SMITH BARNEY LLC	0015
NASDAQ EXECUTION SERVICES, LLC	0568
NASDAQ OMX BX, INC.	0163
NASDAQ OMX PHLX LLC	0237
NASDAQ OPTIONS SERVICES, LLC	0520
NATIONAL FINANCIAL SERVICES LLC	0226
NATIONAL FINANCIAL SERVICES LLC/STOCK LOAN	5157
NATIONAL SECURITIES CLEARING CORPORATION SCC DIVISION	0888
NSCC WITHHOLDING ACCOUNT	0883
NATIXIS SECURITIES AMERICAS LLC	0031
NATIXIS SECURITIES AMERICAS LLC/LENDING	5250
NEWEDGE USA, LLC	0608
NEWEDGE USA, LLC/SECURITIES LENDING & BORROWING	7357
NOMURA SECURITIES INTERNATIONAL, INC.	0180
NSI STOCK LENDING	5180
NOMURA SECURITIES/FIXED INCOME	5222
NOMURA SECURITIES INTERNATIONAL, INC./AFFILIATE PB	7584
NOMURA SECURITIES INTERNATIONAL, INC./AFFILIATE CLEARING	7507
NUVEEN SECURITIES, LLC	0448
NYSE ARCA, INC.	5300
NYSE GROUP, INC.	0769
OCC ACCORD DISCONNECT CONTROL ACCOUNT	1400

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OCTEG, LLC	0341
OPPENHEIMER & CO. INC.	0571
OPPENHEIMER TRUST COMPANY	0303
OPTIONS CLEARING CORPORATION (THE)	0981
OCC CLEARING FUND	0554
THE OPTIONS CLEARING CORPORATION/CUSTOMERS' SEGREGATED ACCOUNT FOR CROSS-MARGINING	0912
THE OPTIONS CLEARING CORPORATION CUSTOMER SEGREGATED MARGIN ACCOUNT	0939
THE OPTIONS CLEARING CORPORATION/OCC MARKET LOAN PROGRAM ACCOUNT - AQS	0982
OPTIONSXPRESS, INC.	0338
PEOPLE'S SECURITIES, INC.	0220
PERSHING LLC	0443
PERSHING LLC/SL	5163
PERSHING LLC/SL INTL	5196
PERSHING LLC/CORRESPONDENT SECURITIES LENDING	8131
PIERPONT SECURITIES LLC	0413
PIPER JAFFRAY & CO.	0311
PNC BANK, NATIONAL ASSOCIATION	2616
PNC BANK, N.A./IPA	1515
PNC BANK, N.A./OTTA	2065
PNC BANK/PNC MUNICIPAL STRATEGY - BLK	2166
PNC BANK/PNC MUNICIPAL STRATEGY - PNCM	2167
PNC EQUITY SECURITIES CORP.	2372
PNC BANK, N.A./PITTSBURGH	2834
PNC BANK N.A./PNC CAPITAL MARKETS LLC	2835
PNC BANK, N.A./MARKET STREET FUNDING SECURITIES	2801
PNC BANK, N.A./HPRS	2937
PORTFOLIO BROKERAGE SERVICES, INC.	8052
PORTIGON SECURITIES INC.	5177
PORTIGON SECURITIES INC./AGENCY ACCOUNT	5160
PRIMEVEST FINANCIAL SERVICES, INC.	0701
PWMCO, LLC	0467
QUANTEX CLEARING, LLC	0294
QUANTEX CLEARING, LLC/STOCK LOAN	7359
RAYMOND JAMES & ASSOCIATES, INC.	0725
RAYMOND JAMES & ASSOCIATES, INC./FI	0390
RJ DEALER STOCK LOAN	0594
RAYMOND JAMES & ASSOCIATES, INC./RAYMOND JAMES TRUST COMPANY	5179
RAYMOND JAMES & ASSOCIATES, INC / RAYMOND JAMES BANK	7568
RBC CAPITAL MARKETS, LLC	0235
RBC CAPITAL MARKETS, LLC/RBCCM	7408
RBS SECURITIES INC.	0248
RBS SECURITIES INC. / EQUITIES	0425
RBS SECURITIES INC. / FIXED INCOME	5231
RBS SECURITIES INC. / EQUITY FINANCE	5263
RCAP SECURITIES, INC.	0166
REGIONS BANK	0971
REGIONS BANK/CORPORATE TRUST/IPA	1505
REGIONS BANK/WEST VALLEY	2329
RELiance TRUST COMPANY	5962
RELiance TRUST COMPANY/SWMS1	2042
RELiance TRUST COMPANY/SWMS2	2085
ROBERT W. BAIRD & CO. INCORPORATED	0547
ROBINSON & LUKENS, INC.	7607
ROOSEVELT & CROSS, INCORPORATED	6931
SANFORD C. BERNSTEIN & CO., LLC	0013
SCOTIA CAPITAL (USA) INC.	0096
SCOTIA CAPITAL (USA) INC./STOCK LOAN	8118
SCOTIA CAPITAL (USA) INC./INTERNATIONAL STOCK LOAN	8119
SCOTTRADE, INC.	0705
SECURITIES FINANCE TRUST COMPANY	2047
SEI PRIVATE TRUST COMPANY	2039
SEI PRIVATE TRUST COMPANY/C/O GWP	2663

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SG AMERICAS SECURITIES, LLC	0286
SMITH, MOORE & CO.	0494
SOCIETE GENERALE, NEW YORK BRANCH	1546
SOCIETE GENERALE NY/SOCIETE GENERALE PARIS	2680
SOLOWEY & CO.	8006
SOUTH STREET SECURITIES LLC	7451
SOUTHWEST SECURITIES, INC.	0279
STATE STREET BANK AND TRUST COMPANY	0997
FIDUCIARY SSB	0987
STATE STREET BANK AND TRUST COMPANY/IPA	1526
SSB-PHYSICAL CUSTODY SERVICES	2193
SSB - TRUST CUSTODY	2319
STATE STREET BANK & TRUST COMPANY / ISHARES EUROPE	2375
STATE STREET BANK AND TRUST COMPANY/DEUTSCHE BANK FRANKFURT	2399
SSB - BANK PORTFOLIO	2436
STATE STREET BANK AND TRUST COMPANY/DB RESIDUAL PROCESSING ACCOUNT	2546
SSB - CAPITAL MARKETS	2556
SSB&T/SEC FIN AS PRINCIPAL	2625
STATE STREET BANK AND TRUST COMPANY OF CALIFORNIA, N.A.	2661
SSB&T CO/CLIENT CUSTODY SERVICES	2678
SSB - BLACKROCK INSTITUTIONAL TRUST	2767
STATE STREET BANK & TRUST/STATE STREET TOTALET	2950
STATE STREET BANK & TRUST COMPANY/LENDING PASS-THROUGH	7268
STATE STREET BANK AND TRUST COMPANY, N.A.	2386
STATE STREET GLOBAL MARKETS, LLC	0189
STEPHENS INC.	0419
STERLING NATIONAL BANK	2004
STERNE, AGEE & LEACH, INC.	0750
STIFEL, NICOLAUS & COMPANY, INCORPORATED	0793
STOCKCROSS FINANCIAL SERVICES, INC.	0445
SUMITOMO MITSUI TRUST BANK (U.S.A.) LIMITED	2779
SUNGARD BROKERAGE & SECURITIES SERVICES LLC	0442
SUNTRUST BANK	2971
SUNTRUST BANK/STES IPA	1594
SUNTRUST BANK / STB RETAIL CD	2114
SUNTRUST BANK/SUNTRUST BANK DEALER BANK	2262
SUNTRUST BANK/SAFEKEEPING CUSTODIAN FOR STES	2717
SUNTRUST ROBINSON HUMPHREY, INC.	2095
SWENEY CARTWRIGHT & COMPANY	7027
SYNOVUS BANK	2578
SYNOVUS BANK/SYNOVUS 2	2579
TD AMERITRADE CLEARING, INC.	0188
TD AMERITRADE CLEARING, INC./SECURITIES LENDING	5298
TD SECURITIES (USA) LLC	7593
TEMPER OF THE TIMES INVESTOR SERVICES, INC.	5175
TEXAS TREASURY SAFEKEEPING TRUST COMPANY	2622
TEXAS TREASURY SAFEKEEPING TRUST COMPANY/IPA	1584
THE BANK OF NEW YORK MELLON	0901
THE BANK OF NEW YORK MELLON/MELLON TRUST OF NEW ENGLAND, NATIONAL ASSOCIATION	0954
THE BANK OF NEW YORK MELLON/IPA	1541
BNYMELLON/BGC BROKERS LP	2002
THE BANK OF NEW YORK MELLON/SOC GEN BANK	2020
THE BANK OF NEW YORK MELLON/HBK GLOBAL SECURITIES LP	2022
THE BANK OF NEW YORK MELLON/FMSBONDS, INC.	2023
BNYMELLON/SPECIAL PROCESSING #117	2026
THE BANK OF NEW YORK MELLON/UBS AG LONDON BRANCH DESIGNED EQUITIES	2029
THE BANK OF NEW YORK MELLON/HBK MASTER FUND LP	2046
THE BANK OF NEW YORK MELLON/DBTCA-DB AG LDN PB - FIRM ACCOUNT	2057
THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN PB-DEUTSCHE BANK NY	2059
BNY MELLON/NGFP MAIN	2063
BNYMELLON/BNP PARIBAS TRI-PARTY ACCOUNT	2070
BNYMELLON/SPECIAL PROCESSING #85	2074
BNYMELLON/BBPLC CLIENT SEG SG SCM EQ TLR LTD	2078
THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN PB CHEYNE VALUE FUND LP	2079
BNYMELLON/MS INTERNATIONAL	2081
THE BANK OF NEW YORK MELLON/NATIXIS FUNDING CORP	2089
THE BANK OF NEW YORK MELLON/DBTCA/DB AG LON PB POLGON GL OP M/FD	2090

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BNYMELLON/RABO CAPITAL SERVICES INC. SSF	2091
THE BANK OF NEW YORK MELLON/POPULAR SECURITIES, INC.	2092
THE BANK OF NEW YORK MELLON/SUNTRUST BANK	2093
THE BANK OF NEW YORK MELLON/SUNTRUST BANK PORTFOLIO	2100
BNYM/HSBC US	2102
THE BANK OF NEW YORK MELLON /BARCLAYS CAPITAL SECURITIES LTD., SBL/PB	2103
THE BANK OF NEW YORK MELLON/FIFTH THIRD BANK	2105
THE BANK OF NEW YORK MELLON/ALLSTATE MARK TO MARKETS	2106
THE BANK OF NEW YORK MELLON/COMMERCIAL LOANS	2107
THE BANK OF NEW YORK MELLON/STANDARD BANK LONDON LTD.	2109
BNY MELLON/TRADITION ASIEL SECURITIES	2113
THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN PB F&C GARNET M/F LTD	2125
THE BANK OF NEW YORK MELLON/DBTC/DB AG LDN PB F&C SAPPHIRE M/FD LTD	2129
BNYMELLON/NOMURA PB NOMINEES LTD	2131
THE BANK OF NEW YORK MELLON/IVORS	2136
THE BANK OF NEW YORK MELLON/ELLINGTON SPECIAL OPPORTUNITIES FUND, LTD.	2138
BANK OF NEW YORK MELLON/INVESTMENT ACCOUNT	2151
THE BANK OF NEW YORK MELLON/DEUTSCHE BANK LONDON AG LONDON/GLOBAL MARKET #2	2155
THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN PB MULTI SEG CLEARANCE	2156
BNY MELLON/NGFP COLLATERAL	2158
THE BANK OF NEW YORK MELLON/DBTCA/DB AG LDN B CHEYNE SPEC'L SIT FD	2160
BNY MELLON/JEFFERIES & CO.	2168
BNY MELLON/GOSHA HOLDINGS LLP	2169
THE BANK OF NEW YORK MELLON/CDC MORTGAGE CAPITAL INC.	2176
THE BANK OF NEW YORK MELLON/ABN AMRO BANK	2183
THE BANK OF NEW YORK MELLON/TULLETT PREBORN FINANCIAL SERVICES 1	2189
THE BANK OF NEW YORK MELLON/TULLETT PREBORN FINANCIAL SERVICES	2190
THE BANK OF NEW YORK MELLON/BARCLAYS CAPITAL-LONDON	2196
THE BANK OF NEW YORK MELLON/COUNTRYWIDE HOME LOANS	2198
BNYMELLON/AC NOMURA PB NOM LTD RE: GLG EMG MKTS	2200
THE BANK OF NEW YORK MELLON/ANNALY CRE LLC	2203
BANK NEW YORK MELLON/ITC-INVESTMENT DEALER	2206
THE BANK OF NEW YORK MELLON/RABOBANK INTERNATIONAL LONDON EQUITY FINANCE	2207
THE BANK OF NEW YORK MELLON/MID CAP SPDRS	2209
THE BANK OF NEW YORK MELLON/EF SECURITIES LLC	2220
THE BANK OF NEW YORK MELLON/NATIXIS FINANCIAL PRODUCTS INC	2224
THE BANK OF NEW YORK MELLON/TELEBANK	2225
THE BANK OF NEW YORK MELLON/MOORE CAPITAL MANAGEMENT	2228
THE BANK OF NEW YORK MELLON/BZW SECURITIES LIMITED	2231
BNY MELLON/NOMURA CAPITAL MARKETS PLC REPO	2232
THEBANK OF NEW YORK MELLON/BANQUE D'ORSAY	2238
THE BANK OF NEW YORK MELLON/NORTHFORK BANK	2242
BNYMELLON/NATIXIS FIXED INCOME	2243
BNYMELLON/NATIXIS	2244
THE BANK OF NEW YORK MELLON/GLOBAL MARKETS DIVISION EQUITY DERIVATIVES GROUP	2245
BNYMELLON/DEDICATED PROCESSING #1	2247
THE BANK OF NEW YORK MELLON/MORGAN STANLEY DERIVATIVES	2252
THE BANK OF NEW YORK MELLON/CDC HOLDINGS TRUST INC.	2264
THE BANK OF NEW YORK MELLON/HBK CDO TRUST	2265
THE BANK OF NEW YORK MELLON/IXIS LOAN ACQUISITION 2005-1 LLC	2274
THE BANK OF NEW YORK MELLON/SUNTRUST EQUITY FUNDING, LLC	2276
THE BANK OF NEW YORK MELLON/WELLS FARGO N.A.	2280
BNY MELLON/NOMURA INTL PLC REPO	2281
THE BANK OF NEW YORK MELLON/WELLS FARGO MARGIN	2282
THE BANK OF NEW YORK MELLON/PREBON FINANCIAL PRODUCTS, INC.	2291
BNYMELLON/CITIGROUP GLOBAL MARKETS LIMITED	2292
THE BANK OF NEW YORK MELLON/OZ MASTER FUND LTD	2294
THE BANK OF NEW YORK MELLON/MELLON TRUST OF NEW ENGLAND, N.A. THE UNITED NATIONS JOINT STAFF PENSION FUND - A U.N. ORGAN	2297
THE BANK OF NEW YORK MELLON/FORTIS BANK NV/SA	2301
THE BANK OF NEW YORK MELLON/SOUTH STREET SECURITIES	2304
THE BANK OF NEW YORK MELLON/ING BANK NV LONDON BRANCH	2307
THE BANK OF NEW YORK MELLON/BOA NA	2308
THE BANK OF NEW YORK MELLON/BARCLAYS (BGIS)	2313
THE BANK OF NEW YORK MELLON/HSBC, BK PLC A/C IB EQ FIN NON US	2321
THE BANK OF NEW YORK MELLON/BARCLAYS BANK PLC - PLEDGE ACCOUNT	2324
THE BANK OF NEW YORK MELLON/ROYAL BANK OF CANADA	2326
BNY MELLON/ANWORTH MORTGAGE ASSET CORP.	2328
THE BANK OF NEW YORK MELLON/NATIXIS SECURITIES NORTH AMERICA INC	2331
THE BANK OF NEW YORK MELLON/CHARLES STANLEY AND COMPANY, LIMITED	2336
THE BANK OF NEW YORK MELLON/CWIBH INC.	2337
THE BANK OF NEW YORK MELLON/ITC - DEALERS CLEARANCE SPECIAL	2339
THE BANK OF NEW YORK MELLON/ELLINGTON MORTGAGE FUND SC, LTD.	2342
BNYMELLON/NOMURA GLOBAL FINANCIAL PRODUCTS INC COLLATERAL ACCOUNT	2349
BNYMELLON/NOMURA GLOBAL FINANCIAL PRODUCTS INC MAIN ACCOUNT	2351
THE BANK OF NEW YORK MELLON/SOCIETE GENERALE GIC	2358
BNYMELLON/HSBC BANK PLC PARIS BRANCH	2359
BNYMELLON/BARCLAYS CAPITAL PLC RE DANTE INVESTMENTS LLC	2361
THE BANK OF NEW YORK MELLON/CRESCENT II FUND L.P.	2362
BNYMELLON/HSBC BANK PLC EQD USBR	2363
BNYMELLON/MONTAGUE PLACE CUSTODY SERVICES	2365
BNYMELLON/BARCLAYS CAP SEC LTD PB SEG 1	2366
BNYMELLON/BARCLAYS CAP SEC LTD PB SEG 2	2367
BNYMELLON/BARCLAYS BANK PLC RE US SHARES	2370
THE BANK OF NEW YORK MELLON/DEALER CLEARANCE #2	2378
THE BANK OF NEW YORK MELLON/DEDICATED PARTICIPANT #8	2381
THE BANK OF NEW YORK MELLON/TULLETT & TOKYO LIBERTY (SEC) LTD.	2383
THE BANK OF NEW YORK MELLON/EVOLUTION BEESON GREGORY LIMITED LIMITED - ACCOUNT #258688	2406
THE BANK OF NEW YORK MELLON/VANGUARD BLOCK LENDING	2407
THE BANK OF NEW YORK MELLON/REGIONS BANK	2409
THE BANK OF NEW YORK MELLON/NATIONAL AUSTRALIA BANK	2414
THE BANK OF NEW YORK MELLON/ELLINGTON MORTGAGE OPPORTUNITIES MASTER FUND LTD.	2417
THE BANK OF NEW YORK MELLON/MERRILL LYNCH PIERCE FENNER & SMITH	2427
THE BANK OF NEW YORK MELLON/DBTC AMERICAS/DEUTSCHE BANK LONDON PRIME	2428
THE BANK OF NEW YORK MELLON/ELLINGTON STRATEGIC MGT FD L.P.	2429
BNY MELLON/TRADITION ASIEL SECURITIES INC. MBS	2431
THE BANK OF NEW YORK MELLON/NATIXIS DERIVATIVES INC	2432

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THE BANK OF NEW YORK MELLON/FSA	2444
THE BANK OF NEW YORK MELLON/MELLON TRUST OF NEW ENGLAND/NORTHWESTERN MUTUAL LIFE	2446
BNYMELLON/NOMURA PB NOM LTD RE GLG EMG EQTY	2449
THE BANK OF NEW YORK MELLON/DBTC AMERICAS/DEUTSCHE BK LONDON PRIME	2452
THE BANK OF NEW YORK MELLON/DBTC/DEUTSCHE BK LONDON PRIME SEG 15/00	2453
THE BANK OF NEW YORK MELLON/DBTC/DEUTSCHE BK LONDON PRIME SEG 30/30	2454
BNYMELLON/NOMURA PB NOM LTD RE GLG EMG CREDIT	2456
BNYMELLON/NOMURA PB NOM LTD RE GLG EMG FIDCCY	2457
BNY MELLON/HSBC BANK PLC	2462
BNYMELLON/BARCLAYS BANK PLC RE: AET TRUST	2463
THE BANK OF NEW YORK MELLON/DEUTSCHE BANK AG FRANKFURT	2468
THE BANK OF NEW YORK MELLON/MIZUHO BANK LTD.	2469
BNY MELLON/CAPSTEAD MORTGAGE CORP.	2470
BNYMELLON/DR CUSTODY ACCOUNT	2472
THE BANK OF NEW YORK MELLON/MILLENNIUM PARTNERS	2474
THE BANK OF NEW YORK MELLON/ANNALY COMMERCIAL REAL ESTATE GR.	2475
THE BANK OF NEW YORK MELLON/ANNALY CRE HOLDING LLC	2477
THE BANK OF NEW YORK MELLON/DBAG LONDON GLOBAL MARKETS (CLIENT ACCT)	2478
THE BANK OF NEW YORK MELLON/DBAG FRANKFURT GLOBAL MARKET	2479
THE BANK OF NEW YORK MELLON/DBAG LONDON GLOBAL MARKET	2485
BNYMELLON/VTB CAPITAL PLC	2486
THE BANK OF NEW YORK MELLON/DBTC AMERICAS/DBAG LDN-GS CR. PORT LLC	2488
BNYMELLON/RABOBANK INT'L UTRECHT EQUITY FIN	2490
THE BANK OF NEW YORK MELLON/TD BANK	2491
THE BANK OF NEW YORK MELLON/BOA SECURITIES LTD. (BASL)	2494
BNYMELLON/BARCLAYS BANK PLC RE: BCTL SARL	2495
BNYMELLON/HYMF INC. FIRM EQUITIES DTC BOX	2496
BNYMELLON/NOMURA CL SETT NOM LTD	2499
THE BANK OF NEW YORK MELLON/ANNALY MORTGAGE	2502
THE BANK OF NEW YORK MELLON/ANNALY FUNDING LLC	2505
THE BANK OF NEW YORK MELLON/ BANQUE DEWAAY SA	2506
THE BANK OF NEW YORK MELLON/THE PRUDENTIAL INVESTMENT	2510
THE BANK OF NEW YORK MELLON/BARCLAYS BANK PLC RE BC EQUITIES TRADING	2511
THE BANK OF NEW YORK MELLON/BANQUE DEWAAY MGT SA	2513
THE BANK OF NEW YORK MELLON/BROKER DEALER OMNIBUS	2535
BNYMELLON/DEUTSCHE BANK AG LDN RE DBAUSTRALIA	2538
THE BANK OF NEW YORK MELLON/NOMURA BANK INT'L PLC	2543
THE BANK OF NEW YORK MELLON/COMMERZBANK AG INVESTMENT	2544
THE BANK OF NEW YORK MELLON/DB BREVAN HOWARD MASTER FUND	2552
THE BANK OF NEW YORK MELLON/DAVY SECURITIES LIMITED	2553
THE BANK OF NEW YORK MELLON/WELLS FARGO BANK N.A.	2558
BNYMELLON/SPECIAL PROCESSING #91	2564
THE BANK OF NEW YORK MELLON/BAKERGROUP	2565
THE BANK OF NEW YORK MELLON/COMMERZBANK, AG LONDON	2566
THE BANK OF NEW YORK MELLON/TORONTO DOMINION SECURITIES INC.	2568
THE BANK OF NEW YORK MELLON/INVESTEC LONDON	2572
THE BANK OF NEW YORK MELLON/RABOBANK INTERNATIONAL NY	2573
THE BANK OF NEW YORK MELLON/DEUTSCHE BANK AG LONDON PRIME BROKERAGE	2582
BNYMELLON/SPECIAL PROCESSING #92	2585
THE BANK OF NEW YORK MELLON/BANCO SANTANDER SLB	2590
THE BANK OF NEW YORK MELLON/ML EQUITY SOLUTIONS JERSEY LTD.	2592
THE BANK OF NEW YORK MELLON/GIB UK LTD CORP BOND	2594
THE BANK OF NEW YORK MELLON/MELLON INVESTMENT PORTFOLIO	2595
THE BANK OF NEW YORK MELLON/DBLPB-BLACK ANT MASTER FD. LP	2596
THE BANK OF NEW YORK MELLON/DBLPB-DBX EURO LONG/SHORT EQ. 4 FD	2597
THE BANK OF NEW YORK MELLON/CACEIS BANK DEUTSCHLAND GMBH	2598
THE BANK OF NEW YORK MELLON/TRADITION LONDON CLEARING LTD.	2601
THE BANK OF NEW YORK MELLON/EQUITIES FORTIS BANK.LONDON	2608
BNYMELLON/SPECIAL PROCESSING #93	2620
BNYMELLON/MIZUHO INTERNATIONAL	2621
BNYMELLON/SPECIAL PROCESSING #94	2624
THE BANK OF NEW YORK MELLON/NATIXIS CAPITAL COOPERATIEF U.A.	2627
THE BANK OF NEW YORK MELLON/NTX FUNDING V.O.F.	2628
THE BANK OF NEW YORK MELLON/NTX FUNDING COOPERATIEF U.A.	2629
BNYMELLON/AIG	2630
BNYMELLON/BBPLC A/C PB CANADA PPIB CLIENT	2633
BNYMELLON/GOV & CO BANK OF ENGLAND	2634
BNYMELLON/HSBC BANK PLC A/C IB EQUITY FINANCE NT	2639
BNYMELLON/BARCLAYS CAPITAL INC.	2641
BNYMELLON/GLOBAL PRIME PARTNERS	2648
THE BANK OF NEW YORK MELLON/DEALERWEB INC.	2650
THE BANK OF NEW YORK MELLON/HH ELLINGTON MASTER FUND LTD	2651
THE BANK OF NEW YORK MELLON/NBT BANK	2652
BNYMELLON/JW GIDDENS TRUSTEE LIQ LEHMAN BROS	2657
BNYMELLON/BRPT	2662
THE BANK OF NEW YORK MELLON/LINK SECURITIES	2674
THE BANK OF NEW YORK MELLON/RABO CAPITAL SERVICES	2677
THE BANK OF NEW YORK MELLON/TDB UNENCUMBERED	2679
THE BANK OF NEW YORK MELLON/ITC-DEALERS CLEARANCE GENERAL	2681
THE BANK OF NEW YORK MELLON/TD NY	2683
BNYMELLON/CACEIS BANK DEUTSCHLAND GMBH RE.CLIENT	2699
BNYMELLON/DE SHAW & CO.	2709
BNYMELLON/ICAP LONDON	2711
BNYMELLON/NATIONAL BANK OF AUSTRALIA	2714
BNYMELLON/DEXIA CREDIT LOCAL PARIS	2715
BNYMELLON/SPECIAL PROCESSING #99	2716
BNYMELLON/NOMURA CNS NOM RE: TFS DER	2718
THE BANK OF NEW YORK MELLON/DBLPB-DBX RISK ARBITAGE 8 FUND	2719
THE BANK OF NEW YORK MELLON/BARCLAYS BK PLC-BARC LUX SARL A/C 1	2720
THE BANK OF NEW YORK MELLON/BARCLAYS BK PLC-BARC LUX SARL A/C 2	2721
THE BANK OF NEW YORK MELLON/DBLPB-CENTAURUS PROXIMA FD	2724
THE BANK OF NEW YORK MELLON/DBLPB-DBX-ASIAN L/S EQUITY 2 FUND	2726
THE BANK OF NEW YORK MELLON/DBL-FIXED INCOME PRIME BROKERAGE	2727
THE BANK OF NEW YORK MELLON/OZ ASIA MASTER FUND, LTD CUSTODY	2728
THE BANK OF NEW YORK MELLON/OZ SPECIAL FUNDING (OZMD) L.P.	2729
THE BANK OF NEW YORK MELLON/OZ EUROPE MASTER MASTER FUND, LTD	2730

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THE BANK OF NEW YORK MELLON/OZ MASTER MASTER FUNDS, LTD	2731
THE BANK OF NEW YORK MELLON/OZ CAP STRUC ARBTRAGE MASTER FUND	2732
THE BANK OF NEW YORK MELLON/SIMF	2733
THE BANK OF NEW YORK MELLON/KBC FINANCIAL PRODUCTS UK, LTD.	2747
THE BANK OF NEW YORK MELLON/KBC INVESTMENTS LIMITED	2748
THE BANK OF NEW YORK MELLON/IXIS CMNA (AUSTRALIA) (NO.2) S.C.A	2755
THE BANK OF NEW YORK MELLON/NOMURA CREDIT CAPITAL INC.	2758
BNY MELLON/RABOBANK INTERNATIONAL CASH EQUITY AMSTERDAM	2760
BNY MELLON/RABOBANK INTERNATIONAL CASH EQUITY UTRECHT	2761
BNY MELLON/RABOBANK INTERNATIONAL EQUITY DERIVATIVES LONDON	2762
BNY MELLON/RABOBANK INTERNATIONAL EQUITY DERIVATIVES HONG KONG	2763
BNYMELLON/LBBW NY PRIMARY ACCOUNT	2764
BNYMELLON/LBBW NY CUSTODY	2765
THE BANK OF NEW YORK MELLON/ELLINGTON CREDIT OPPORTUNITIES LTD.	2776
THE BANK OF NEW YORK MELLON/ST. BERNARD OPPORTUNITY FUND 1, LTD.	2784
THE BANK OF NEW YORK MELLON/MILLENNIUM FIXED INCOME LTD	2785
BNYMELLON/BBPLC PB CAYMEN CLIENTS	2802
BNYMELLON/BBPLC PB CANADIAN CLIENTS	2825
THE BANK OF NEW YORK MELLON/EF MORTGAGE, LLC	2841
THE BANK OF NEW YORK MELLON/EF CMO, LLC	2842
BNYMELLON/BBPLC PB UK CLIENTS	2844
BNYMELLON/(AG) DESHAW OCULUS PORT LLC.PLGCOLL AC	2846
BNYMELLON/CANTOR FITZGERALD, EUROPE	2856
BNYMELLON/SMPT	2858
BNYMELLON/SPECIAL PROCESSING #109	2868
THE BANK OF NEW YORK MELLON/TD BANK N.A.	2872
THE BANK OF NEW YORK MELLON/CHIMERA INVESTMENT CORPORATION	2874
THE BANK OF NEW YORK MELLON/CHIMERA ASSET HOLDING LLC	2875
THE BANK OF NEW YORK MELLON/DB CHILRDENS	2877
THE BANK OF NEW YORK MELLON/CHIMERA HOLDING LLC	2893
THE BANK OF NEW YORK MELLON/CHIMERA SECURITIES HOLDING LLC	2899
THE BANK OF NEW YORK MELLON/CHIMERA SPECIAL HOLDING LLC	2901
THE BANK OF NEW YORK MELLON/BAKER2	2903
THE BANK OF NEW YORK MELLON/CHIMERA TRADING COMPANY LLC	2906
THE BANK OF NEW YORK MELLON/ELLINGTON STRATEGIC MBS LP II	2913
THE BANK OF NEW YORK MELLON/NATIXIS SECURITIES NORTH AMERICA	2920
BNYMELLON/SPECIAL PROCESSING # 110	2926
BNYMELLON/BARCLAYS BANK PLC	2931
THE BANK OF NEW YORK MELLON/COUNTRYWIDE HOME LOANS, CHL FOR CCM CONDUITS	2935
THE BANK OF NEW YORK MELLON/VINNING SPARKS, IBG, L.P.	2940
THE BANK OF NEW YORK MELLON/ROYAL TRUST	2985
BNYMELLON/WF & CO WELLS FARGO & COMPANY PI	8028
BNYMELLON/WFB.NA WELLS FARGO BANK NA PI	8043
BNYMELLON/WF & CO WELLS FARGO & COMPANY	8077
BNYMELLON/AL CONDUIT	8107
BNYMELLON/SPECIAL PROCESSING #117	8108
THE BANK OF NEW YORK MELLON/EARN TRS LLC	8114
THE BANK OF NEW YORK MELLON/EARN SECURITIES LLC	8115
THE BANK OF NEW YORK MELLON/EARN CMO LLC	8116
THE BANK OF NEW YORK MELLON/EARN MORTGAGE LLC	8117
THE BANK OF NEW YORK MELLON/ELLINGTON SECURITIZED PRODUCT FUND LTD.	8121
THE BANK OF NEW YORK MELLON/SOUTH STREET SECS, LLC	8122
THE BANK OF NEW YORK MELLON/NOMURA FIN. PRODUCTS & SERVICES INC.	8123
BNYMELLON/DEDICATED PROCESSING #2	8132
BNYMELLON/DEDICATED PROCESSING #3	8133
BNYMELLON/DEDICATED PROCESSING #4	8134
BNYMELLON/DEDICATED PROCESSING #5	8135
BNYMELLON/DEDICATED PROCESSING #6	8139
BNYMELLON/DEDICATED PROCESSING #7	8140
THE BANK OF NEW YORK MELLON TRUST COMPANY, NATIONAL ASSOCIATION	2438
THE BANK OF NOVA SCOTIA, NEW YORK AGENCY	2347
BANK OF NOVA SCOTIA, NEW YORK AGENCY/IPA (THE)	1542
THE BANK OF NOVA SCOTIA, NEW YORK AGENCY/RATES DESK	2531
THE CENTRAL DEPOSITORY (PTE) LIMITED	5700
THE HUNTINGTON NATIONAL BANK	2305
HUNTINGTON NATIONAL BANK/IPA	1562
HUNTINGTON NATIONAL BANK/FBO OHIO POLICE AND PENSION FUND	2219
HUNTINGTON NATIONAL BANK/FBO SCHOOL EMPLOYEE RETIREMENT SYSTEM OF OHIO	2898
THE NASDAQ STOCK MARKET LLC	0734
NASDAQ STOCK MARKET LLC/OMNIBUS ACCOUNT	0759
THE NORTHERN TRUST COMPANY	2669
NORTHERN TRUST COMPANY/IPA	1560
NORTHERN TRUST COMPANY - SAFEKEEPING	2684
NORTHERN TRUST COMPANY/FUTURE FUND ACCOUNTS	2778
NORTHERN TRUST COMPANY/OCH-ZIFF CAPITAL MANAGEMENT	8101
THE ROYAL BANK OF SCOTLAND PLC (CT BRANCH)	2288
THE ROYAL BANK OF SCOTLAND PLC, CT BRANCH / EQUITIES FINANCE	5251
THE TEL-AVIV STOCK EXCHANGE CLEARING HOUSE LTD	2015
TIMBER HILL LLC	0549
TRADEBOT SYSTEMS, INC.	0083
TRADESTATION SECURITIES, INC.	0271
TRADITION ASIEL SECURITIES INC.	0370

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TRUST COMPANY OF AMERICA	5981
TRUSTMARK NATIONAL BANK	2852
TULLETT PREBON FINANCIAL SERVICES LLC	0624
U.S. BANCORP INVESTMENTS, INC.	0280
U.S. BANK N.A.	2803
U.S. BANK N.A./CP	1510
U.S. BANK N.A./SAFEKEEPING WEST	2234
U.S. BANK N.A./ETF	2580
U.S. BANK, N.A./U.S. BANK MUNICIPAL SECURITIES GROUP	2781
U.S. BANK N.A./THIRD PARTY LENDING	2837
U.S. BANK N.A./TRUST NY MTN	2897
UBS AG, STAMFORD BRANCH	0979
UBS AG, STAMFORD BRANCH/IPA ACCOUNT	1540
UBS AG, STAMFORD BRANCH/AC PB CLIENTS-NO UBS LIEN	2003
UBS AG STAMFORD BRANCH/AS CUSTODIAN FOR UBS AG LONDON BRANCH	2507
UBS FINANCIAL SERVICES INC.	0221
UBS FINANCIAL SERVICES INC./GOVERNMENT SECURITIES ACCOUNT #2	5170
UBS LIMITED	2789
UBS SECURITIES LLC	0642
UBS SECURITIES LLC/CMO	0652
UBS SECURITIES LLC/SECURITIES LENDING	5284
UMB BANK, NATIONAL ASSOCIATION	2450
UMB BANK NA/ENOGEX MTM/IPA	1523
UMB BANK, INVESTMENT DIVISION	2451
UNICREDIT CAPITAL MARKETS, LLC	7580
UNION BANK & TRUST COMPANY	2067
UNION BANK, N.A.	2145
UNION BANK, N.A./CORPORATE TRUST/IPA	1500
UNION BANK, N.A./CAPITAL MARKETS	2851
USAA INVESTMENT MANAGEMENT COMPANY	0367
VANGUARD MARKETING CORPORATION	0062
VIRTU FINANCIAL BD LLC	0063
VIRTU FINANCIAL BD LLC/WEST	0619
VIRTU FINANCIAL CAPITAL MARKETS LLC	0749
VISION FINANCIAL MARKETS LLC	0595
WACHTEL & CO., INC.	0709
WEDBUSH SECURITIES INC.	0103
WEDBUSH SECURITIES INC./STOCK LOAN	5166
WELLS FARGO ADVISORS, LLC	7360
WELLS FARGO BANK, NATIONAL ASSOCIATION	2027
WELLS FARGO BANK, N.A. ISSUING/PAYING AGENT	1538
WELLS FARGO BANK, N.A./LENDING	2040
WELLS FARGO BANK, N.A./SIG	2072
WELLS FARGO SECURITIES, LLC	0250
WELLS FARGO SECURITIES, LLC/SECURITIES FINANCE	2480
WFS LLC/1.20 SEGREGATED ACCOUNT	8154
WFS LLC/CLEARED SWAPS CUSTOMER ACCOUNT	8155
WFS LLC/30.7 SEGREGATED ACCOUNT	8156
WESBANCO BANK, INC.	2271
WILLIAM BLAIR & COMPANY, L.L.C.	0771
WILSON-DAVIS & CO., INC.	0283
WULFF, HANSEN & CO.	5226
ZIONS DIRECT, INC.	0065
ZIONS FIRST NATIONAL BANK	2104
ZIONS FIRST NATIONAL BANK-CT ISSUE & PAY A/C/IPA	1586
ZIONS FNB/WESTERN NATIONAL	2736
ZIV INVESTMENT CO.	8082